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Annli	cation Data	CED 1.7	Attorney I	Docket N	umber	006119.00007			
Appli	Calion Dala	Sileet 37	CFK 1.7	Application	n Numbe	er			
Title of	Invention De	erivatives Tra	ading Metho	ds That Use a V	ariable Or	rder Price	and a Hedge Tr	ansaction	
bibliogra This doc	phic data arranged	in a format spe opleted electro	ecified by the onically and s	United States Pat submitted to the 0	ent and Tra	ademark O	ffice as outlined in	ne following form contains 37 CFR 1.76. ctronic Filing System (EFS	
Secre	cy Order 3	7 CFR 5	5.2						
								a Secrecy Order pur led electronically.)	suant to
Applic	cant Inforn	nation:							
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	ant Authority ⁽	Inventor	◯Legal F	Representative u	ınder 35 l	J.S.C. 11	7 Party	of Interest under 35 U.S	.C. 118
Prefix		I		Middle Name	!		Family Name)	Suffix
	James			W.			Farrell		
Resid	ence Informati	on (Select	One) 💿	US Residency	O No	on US Res	sidency () A	ctive US Military Service)
City	Carol Stream		Sta	te/Province	IL	Countr	y of Residenc	ei US	
Citizer	nship under 37	CFR 1.41(I	b)i US						
Mailing	g Address of A	pplicant:	'						
Addres	ss 1	684 Larcl	h Drive						
Addres	ss 2								
City	Carol Strea	m			Stat	e/Provin	ice IL		
City									
Postal	Code	60188		C	ountry	US	-		
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Application Data Sheet 37 CFF				1 76	Attorne	y Doc	cket Nu	umber	00611	9.00007			
Application bata officer of or i			<u> </u>	1.70	Applica	ation N	Numbe	r					
Title of	Invention	Deri	vatives Tra	ading N	Method	s That Use	a Varia	able Or	der Price	and a H	Hedge Trans	action	
Citizen	ship under	37 C	FR 1.41(b) i	US								
	Address		•	,									
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City	Bartlett		State/Province IL										
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Applica	ant 4											Remove	
	ant Authori	itv	Inventor	○L	egal Re	epresentativ	e unde	er 35 L	J.S.C. 11	7 (Party of In	iterest under 35 U.S	S.C. 118
Prefix	Given Nar				ı	Middle Na	me			Fami	ly Name		Suffix
	Scott									Johns	on		
Reside	ence Inforn	natio	n (Select	One)	⊙ ∪	IS Residend	;у () No	n US Res	sidency	○ Active	e US Military Servic	e
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Mailing	Address o	of Ap	plicant:										
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Addres	ss 2												
City	Oak Pa	ark						State	e/Provin	ice	IL		
Postal	Code		60302				Cou	intry	US				
Applica	ant 5											Remove	
Applica	ant Authori	ity	Inventor	○L	egal Re	epresentativ	e unde	er 35 L	J.S.C. 11	7	○Party of In	terest under 35 U.S	S.C. 118
Prefix	Given Nar				ı	Middle Na	me			Fami	ly Name		Suffix
	John									Falck			
Reside	ence Inforn	natio	n (Select	One)	⊙ ∪	IS Residend	у () No	n US Res	sidency	○ Active	e US Military Servic	e
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Application Data Sheet 37 CFR 1.76				Attorne	y Do	cket N	umber	006119.00007						
Applic	alion Da	ila Sile	5 6 1 37	CIN	1.70	Applica	ation	Numbe	er					
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Title of t	the Invent	ion	Deriva	atives	Trading	g Methods 7	That L	Jse a Va	ariable Or	der Price	and a Hed	ge Transa	action	
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Applicat	tion Type		Nonp	rovisio	nal									
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Shoot 27 CED 4 76	Attorney Docket Number	006119.000	07						
Sileet 37 CFK 1.76	Application Number	tion Number							
Perivatives Trading Methods	That Use a Variable Order Price	and a Hedge	Transaction						
formation:									
Request Early Publication (Fee required at time of Request 37 CFR 1.219)									
Request Not to Publish. I hereby request that the attached application not be published under 35 U.S. C. 122(b) and certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.									
Representative Information:									
Representative information should be provided for all practitioners having a power of attorney in the application. Providing this information in the Application Data Sheet does not constitute a power of attorney in the application (see 37 CFR 1.32). Enter either Customer Number or complete the Representative Name section below. If both sections are completed the Customer Number will be used for the Representative Information during processing.									
Customer Number	r US Patent Practition	er 🔵 Lir	mited Recognition (37 CFR 11.9)						
30756	·								
Domestic Benefit/National Stage Information: This section allows for the applicant to either claim benefit under 35 U.S.C. 119(e), 120, 121, or 365(c) or indicate National Stage entry from a PCT application. Providing this information in the application data sheet constitutes the specific reference required by									
			Remove						
	Type Prior Applicat	ion Number	Filing Date (YYYY-MM-DD)						
		ion Number							
er Continuity Continuation in part			Filing Date (YYYY-MM-DD)						
er Continuity Continuation in part Benefit/National Stage Da	of 10385152		Filing Date (YYYY-MM-DD) 2003-03-10						
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	iormation: ublication (Fee required a port to Publish. I here the retify that the invention dispersion another country, or a fiter filing. Information: tion should be provided for a polication Data Sheet does not ner Number or componer Number will be used for a componer Number will be used fo	Application Number Application Number or Request 37 CFR 1.2 Application Number required at time of Request 37 CFR 1.2 Ap	Application Number Application Number Price and a Hedge Formation: Application Number Street and a Hedge Application Number Street Stre						

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Application Da	ta Sha	eet 37 CFR 1.76	Attorney Docket Numb	er 00611	9.00007				
Application Da	ila Siit	eet 37 CFK 1.76	Application Number						
Title of Invention	Deriva	ntives Trading Methods That Use a Variable Order Price and a Hedge Transaction							
If the Assignee is a	ın Orgai	nization check here.	X						
Organization Name	e Cł	nicago Mercantile Exch	ange, Inc.						
Mailing Address I	Mailing Address Information:								
Address 1		30 South Wacker Dri	ve						
Address 2									
City		Chicago	State/Pr	ovince	IL				
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Signature:

A signature of the applicant or representative is required in accordance with 37 CFR 1.33 and 10.18. Please see 37 CFR 1.4(d) for the form of the signature.									
Signature	/Charles Miller/		Date (YYYY-MM-DD)	2007-09-19					
First Name	Charles	Last Name	Miller	Registration Number	43805				

This collection of information is required by 37 CFR 1.76. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 23 minutes to complete, including gathering, preparing, and submitting the completed application data sheet form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

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- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.